

Attorney or Party without Attorney: ALEXANDER C. B. BARNARD CREDIT SUISSE SECURITIES (USA) LLC 11 MADISON AVENUE NEW YORK, NY 10010 Telephone No: 212-325-2000				For Court Use Only	
Ref. No. or File No.:					
Attorney for: Plaintiff					
Insert name of Court, and Judicial District and Branch Court: United States District Court, Southern District Of New York					
Plaintiff: CREDIT SUISSE SECURITIES (USA) LLC					
Defendant: JOHN L. SULLIVAN II					
PROOF OF SERVICE SUMMONS & COMPLAINT		Hearing Date:	Time:	Dept/Div:	Case Number: 07 CIV 8556

1. At the time of service I was at least 18 years of age and not a party to this action.
2. I served copies of the SUMMONS IN A CIVIL CASE; CIVIL COVER SHEET; PETITIONER CREDIT SUISSE SECURITIES (USA) LLC DISCLOSURES PURSUANT TO RULE 7.1; PETITION FOR INJUNCTIVE RELIEF PENDING ARBITRATION; DECLARATION OF ALEXANDER C.B. BARNARD; DECLARATION OF CAREY H. TIMBRELL IN SUPPORT OF PETITIONER'S EMERGENCY MOTION FOR INJUNCTION IN AID OF ARBITRATION.
3. a. Party served: **JOHN L. SULLIVAN II**
4. Address where the party was served: **29 LLOYDEN DRIVE
ATHERTON, CA 94027**
5. I served the party:
 - a. by personal service. I personally delivered the documents listed in item 2 to the party or person authorized to receive service of process for the party (1) on: Tue., Oct. 16, 2007 (2) at: 6:07PM
6. The "Notice to the Person Served" (on the Summons) was completed as follows:
 - a. as an individual defendant
7. Person Who Served Papers:
 - a. ELLENOR RIOS

Recoverable Cost Per CCF 1033.5(a)(4)(B)

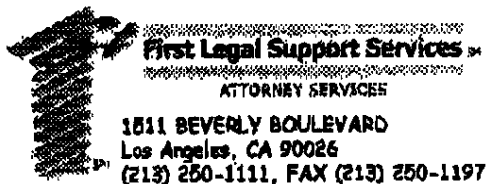
d. The Fee for Service was:

e. I am: (3) registered California process server

(i) Owner

(ii) Registration No.: 984

(iii) County: Santa Clara



8. I declare under penalty of perjury under the laws of the State of California and under the laws of the United States Of America that the foregoing is true and correct.

Date: Wed, Oct. 17, 2007

ACKNOWLEDGMENT

State of California
County of SAN FRANCISCO

On 10/17/07 before me, GREG MIDDLETON, NOTARY PUBLIC
(here insert name and title of the officer)

personally appeared ELLENOR RIOS

personally known to me (or proved to me on the basis of satisfactory evidence) to be
the person(s) whose name(s) is/are subscribed to the within instrument and
acknowledged to me that he/she/they executed the same in his/her/their authorized
capacity(ies), and that by his/her/their signature(s) on the instrument the person(s),
or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Signature *Greg Middleton*



(Seal)